

WINNEBAGO TRIBE of NEBRASKA

ENROLLMENT DEPARTMENT

WINNEBAGO TRIBAL ENROLLMENT 1030 BUFFALO TRAIL SUITE 110 WINNEBAGO NE, 68071

APPLICATION FOR MINOR TRUST FUNDS

Dear Tribal Member:

Attached you will find a Minor Trust Fund Application & Address Verification form. This form is to request withdrawal of per capita trust fund monies and may be completed and returned to the Enrollment Office any time after you have reached the age of 18 years or accomplished either one of the following:

- ◆ Received a high school diploma from an accredited high school; or
 - If a mid-year diploma from an accredited high school; or
Stating that all required credits for graduation have been met and the student is eligible to receive his/her diploma. Notarized letters must come from the Superintendent, Principal, or School Counselor of the respective school.
- ◆ Received a GED.

Although you may be eligible to withdraw the per capita trust fund money, you are under no immediate obligation to apply for release of these funds. If you choose not to withdraw the money right away, it will remain in the trust fund account until you submit an application for withdrawal. Whenever you choose to withdraw the money, please be aware that the funds are yours to use for personal, educational, living or other expenses that you deem appropriate. You are under no obligation to share this money or pay this money to anyone else unless ordered by a court to do so.

The total amount of money that you will receive is based upon the amount of per capita payments that you have been eligible to receive since the date you were enrolled as a Tribal member AND the overall investment performance of the trust fund. The fund is an investment account and is subject to market fluctuations. To obtain information regarding your current balance, please contact the Winnebago Tribal Enrollment Department.

MINOR TRUST FUND MONEY RELEASE PROCESS:

- ◆ The applicant shall complete the Application & Address Verification for Minor Trust Funds.
- ◆ The applicant shall return the completed application along with a copy of HS diploma or GED to the Enrollment Office either in person or via mail. If the applicant is a mid-year graduate, they must submit a notarized letter as described above in lieu of their high school diploma. Faxed applications will not be accepted. (The applicant may request that a copy of his/her high school diploma or GED be mailed directly to the Enrollment Office.)
- ◆ A completed and signed W-9 Tax Form (<http://www.irs.gov/pub/irs-pdf/fw9.pdf>)
- ◆ The complete application will be forwarded for processing after both the Application & Address Verification and copy of either the applicant's high school diploma, notarized letter mid-year graduate, or GED certificate have been received and verified by the Enrollment Office. Please allow at least two (2) weeks for processing.
- ◆ The Trustee will send the payment by registered mail directly to the address indicated on the address verification form. Checks are typically processed and mailed on or near the 15th and 30th of the month.

Sincerely, Winnebago Tribal Enrollment Office

WINNEBAGO TRIBE of NEBRASKA

Winnebago Tribal Enrollment Office 1030 Buffalo Trail, Suite 110 Winnebago, NE 68071

MINOR TRUST FUNDS APPLICATION & ADDRESS VERIFICATION

TRIBAL ID NUMBER: 383-UO _____ TELEPHONE NUMBER: (_____) _____ - _____

NAME: _____ AGE: _____

DATE OF BIRTH: ____/____/____ S.S. NUMBER: _____ - _____ - _____

MAILING ADDRESS: _____
CITY STATE ZIP

PHYSICAL ADDRESS: _____
CITY STATE ZIP

GRADUATION DATE: _____ Received: High School Diploma GED

-PLEASE READ-

_____ **I DO want Federal Taxes withheld from this distribution of 28%**

_____ **I DO NOT want Federal Taxes withheld**

I understand that this payment is considered ordinary income by the Internal Revenue Service and is subject to federal taxation. The Winnebago Tribe and Liberty Bank are not responsible for paying taxes on my behalf. In addition understand that the payment may adversely affect TANF, SSI, EBT benefits, GENERAL ASSISTANCE, or any other benefits that I may be receiving or to which I may be entitled. I also understand that I am under no obligation to remit this money to anyone remit this money to anyone else unless court ordered to do so.

_____ Date

_____ Applicant Signature

STATE OF: _____ COUNTY OF: _____

Sworn to and subscribed to before me this ____ day of _____, 20__.

(SEAL)

_____ Notary Signature

My Commission Expire: _____

FOR ENROLLMENT OFFICE USE:

Date Received: _____ Date Diploma/GED Received: _____ Date Processed: _____

Request for Taxpayer Identification Number and Certification

**Give Form to the
 requester. Do not
 send to the IRS.**

Print or type See Specific instructions on page 2.	1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.	
	2 Business name/disregarded entity name, if different from above	
	3 Check appropriate box for federal tax classification; check only one of the following seven boxes: <input type="checkbox"/> Individual/sole proprietor or single-member LLC <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ Note. For a single-member LLC that is disregarded, do not check LLC; check the appropriate box in the line above for the tax classification of the single-member owner. <input type="checkbox"/> Other (see instructions) ▶	4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): Exempt payee code (if any) _____ Exemption from FATCA reporting code (if any) _____ <small>(Applies to accounts maintained outside the U.S.)</small>
	5 Address (number, street, and apt. or suite no.)	Requester's name and address (optional)
	6 City, state, and ZIP code	
	7 List account number(s) here (optional)	

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the instructions for line 1 and the chart on page 4 for guidelines on whose number to enter.

Social security number									
or									
Employer identification number									

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

Sign Here	Signature of U.S. person ▶	Date ▶
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General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. Information about developments affecting Form W-9 (such as legislation enacted after we release it) is at www.irs.gov/fw9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)

- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding? on page 2.

- By signing the filled-out form, you:
1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
 2. Certify that you are not subject to backup withholding, or
 3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
 4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting?* on page 2 for further information.